

*Section 3*

PATENT APPLICATION  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Atsushi SAKAI, et al.

Appln. No.: 09/576,957

Filed: May 24, 2000

Examiner: Unassigned

For: SOLID ELECTROLYTIC CAPACITOR AND METHOD  
FOR PRODUCING THE SAME



**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

To complete the filing formalities in this application, and in response to the Notification of Missing Requirements dated July 17, 2000, a copy of which is enclosed, Applicants submit herewith the required verified English translation of the application.

Also attached hereto is a Submission of Priority Document, Information Disclosure Statement and PTO-1449, Request for Corrected Official Filing Receipt and Request for Corrected Notice of Recordation.

A check for the surcharge of \$130.00 is attached. Please also charge any underpayment or credit any overpayment to Deposit Account No. 19-4880. A duplicate copy of this authorization is attached for the Finance Branch.

Respectfully submitted,

*Waddell A. Biggart*  
Waddell A. Biggart  
Reg. No. 24,861

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(202) 293-7060  
Date: September 14, 2000

#3

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Group Art Unit: Unassigned

Examiner: Unassigned

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

Sir:

We enclose a copy of the Official Filing Receipt for the above-identified application and request the following correction:

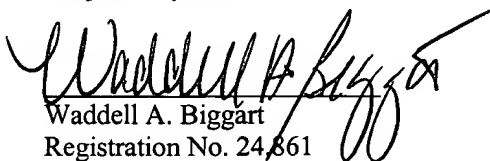
Please add the following foreign applications: --JAPAN 11-123599 4/30/99 and

JAPAN 11-123598 4/30/99--,

as indicated on the original Declaration/Power of Attorney filed May 24, 2000.

This error was caused by the PTO and therefore no fee is necessary.

Respectfully submitted,

  
Waddell A. Biggart  
Registration No. 24,861

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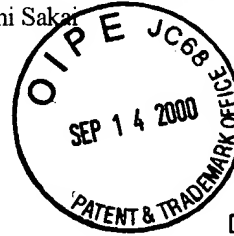
## FORMALITIES LETTER



\*OC00000005246689\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/576,957	05/24/2000	Atsushi Sakai	Q54388

Sughrue Mion Zinn Macpeak & Seas PLLC  
2100 Pennsylvania Avenue  
Washington, DC 20037-3202

Date Mailed: 07/17/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The application was filed in a language other than English.
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/15/2000 CV0111 00000067 09576957

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130.00 0P

## FILING RECEIPT



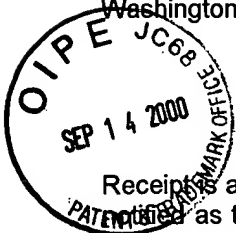
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UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/576,957	05/24/2000 ✓	—	834 ✓	Q54388 ✓	5 ✓	28 ✓	1 ✓

Sughrue Mion Zinn Macpeak & Seas PLLC  
2100 Pennsylvania Avenue  
Washington, DC 20037-3202

Date Mailed: 07/17/2000



Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Atsushi Sakai, Nagano, JAPAN; ✓  
 Ryuji Monden, Nagano, JAPAN; ✓  
 Hiroshi Nitoh, Nagano, JAPAN; ✓  
 Toshihiro Okabe, Nagano, JAPAN; ✓  
 Yuji Furuta, Nagano, JAPAN; ✓  
 Hideki Ohata, Chiba, JAPAN; ✓  
 Koro Shirane, Chiba, JAPAN; ✓

## Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/135,843 05/24/1999 ✓  
 AND CLAIMS BENEFIT OF 60/135,844 05/24/1999 ✓

## Foreign Applications

JAPAN 11-123599 4/30/99  
JAPAN 2000-039185 02/17/2000

JAPAN 11-123598 4/30/99

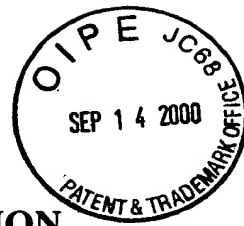
If Required, Foreign Filing License Granted 07/17/2000

## Title

Solid electrolytic capacitor and method for producing the same

## Preliminary Class

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## CERTIFICATE OF VERIFICATION

I, Kunihisa OHIE, a citizen of Japan, of c/o OHIE PATENT  
OFFICE, Horiguchi No. 2 Bldg. 7F, 2-6, Nihonbashi-  
Ningyocho 2-chome, Chuo-ku, Tokyo, 103-0013 Japan,  
am the translator of US patent Application Serial No. 09/576,957 filed on  
May 24, 2000 and I do hereby declare that the attached is a true and faithful  
translation of the said application to the best of my knowledge and belief.

Signature of the translator

Kunihisa Ohie

Date

August 8, 2000